

Appendix 1 - Audit Plan Monitoring 2020/21
 Progress as at January 2021

	Service	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High Priority Recs	Medium Priority Recs	Low Priority Recs
Harlow and Gilston Garden Town - c/f from 2019/20	Chief Executive	15	Final report	■	■	■	Substantial	0	3	1
Customer Services - c/f from 2019/20	Business Services	12	Final report	■	■	■	Substantial	0	1	2
Fixed Assets - c/f from 2019/20	Customer Services	15	Final report	■	■	■	Moderate	0	3	0
IT - active directory management	Business Services	10	Final report	■	■	■	Moderate	0	11	0
IT - storage area network	Business Services	10	In progress	■						
IT Disaster recovery	Business Services	10	In progress	■						
Governance - Qualis	Chief Executive	12	In progress	■						
H&S compliance - council buildings and depot compliance	Housing and Property	20	In progress	■						
KFC: Accounts Payable	Business Services	12	In progress	■						
KFC: Payroll	Business Services	12	In progress							
Housing H&S – gas safety	Housing and Property	15	Scoping							
KFC: Treasury Management	Business Services	12	Scoping							
Business continuity: assist Council wide lessons learnt review	Business Services	10	On going							
Contract management including exit strategies	Contracts and Technical	20								
Qualis Commercial	Chief Executive	15								
							TOTAL	0	17	3

Key
 c/f = carried forward
 H&S = Health and Safety
 KFC = Key Financial Controls